

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of: Ronald F. Woestemeyer, et al.
Serial No.: 09/919,385
Filing Date: July 31, 2001
Title: **System and Method for Analyzing Transaction in
an Electronic Exchange**

COPY OF PAPERS
ORIGINALLY FILED

BOX Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231

Certification Under 37 C.F.R. §1.8

I hereby certify that the documents listed below are
being deposited with the United States Postal Service
as First Class Mail in an envelope addressed to: Box
Missing Parts, Assistant Commissioner for Patents,
Washington, D.C. 20231 on November 8, 2001.

Carblyn J. Williams
Carblyn J. Williams

RESPONSE TO NOTICE TO FILE MISSING PARTS

Dear Sir:

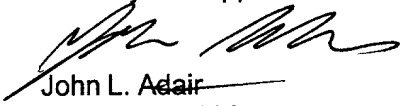
In response to the Notice to File Missing Parts of Application which was granted a Filing
Date of July 31, 2001, and mailed to us on September 10, 2001, applicants submit herewith:

1. Copy of Notice to File Missing Parts of Application;
2. Check in the amount of \$65.00 for the surcharge for a small entity;
3. Preliminary Amendment
4. Formal Drawings;
5. Executed Declaration and Power of Attorney; and
6. Executed Assignment, Recordation Cover Sheet, and \$40.00 check.

The Commissioner is hereby authorized to deduct any deficiency or credit any overpayment to Deposit Account 50-0456 of Gray Cary Ware & Freidenrich LLP.

Respectfully submitted,

Gray Cary Ware & Freidenrich LLP
Attorneys for Applicants


John L. Adair
Reg. No. 48,828

Date: November 8, 2001

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COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/919,385	07/31/2001	Ronald F. Woestemeyer	PROS1100-1

CONFIRMATION NO. 3387

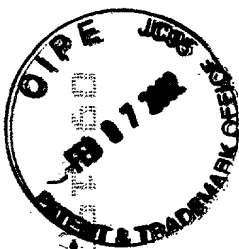
25094
GRAY, CARY, WARE & FREIDENRICH LLP
1221 SOUTH MOPAC EXPRESSWAY
SUITE 400
AUSTIN, TX 78746-6875

FORMALITIES LETTER



OC000000006534643

Date Mailed: 09/10/2001



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice **MUST** be returned with the reply.

Chris

02/11/2002 NBERHE 00000060 09919385

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65.00 OP

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

2023-09-08 15:00:00